

## Acute respiratory distress syndrome (ARDS)

David Lacquiere

Correspondence Email: david\_lacquiere@hotmail.com

### INTRODUCTION

First described in 1967, ARDS is a process of hypoxaemic respiratory failure associated with non-cardiogenic pulmonary oedema. It is the result of diffuse inflammatory damage to the alveoli and pulmonary capillaries from a range of local or systemic insults. ARDS is often associated with multiple organ dysfunction and carries a high mortality and financial cost.

### DEFINITIONS

ARDS is diagnosed on clinical grounds. The 1994 criteria have been replaced (Table 1).

Acute lung injury (ALI), a less severe form of ARDS in which the  $\text{PaO}_2/\text{FiO}_2$  ratio is  $\leq 300\text{mmHg}$  (40kPa) is now termed mild ARDS.

### EPIDEMIOLOGY

The true incidence of ARDS is unknown; estimates vary depending on the definitions used, with values ranging from 1.5 per 100 000 population per year to 75 per 100 000 population per year. Recent data from an Australian study, which used the 1994 consensus conference definition for ARDS, would suggest that one in ten non-cardiothoracic ICU patients will develop ARDS.<sup>1</sup>

Although ARDS may affect children it is more common in those over the age of 65, which may reflect a higher incidence of predisposing conditions. Gender has no effect. In recent years mortality rates have decreased from about 60% to 30–40%, but mortality is higher in the elderly and in patients with factors such as chronic liver disease. Most of those who die do so from sepsis or multiple organ failure and not from respiratory failure. Survivors usually have little in the way of pulmonary sequelae, although the severest cases may have restrictive lung disease.

### PATHOPHYSIOLOGY

It is not understood why some individuals develop ARDS while others with the same pattern of predisposing injury do not. In those that do there are said to be three overlapping phases: an inflammatory phase, a proliferative phase and a fibrotic phase caused by the subsequent reparative response.<sup>2</sup> Patients with ARDS do not have to progress through all three phases, as resolution can occur at any point. However, the severest form of ARDS will progress to the fibrotic phase. Common preceipitants are listed in Table 2.

### Inflammatory phase

This lasts for one week after the onset of respiratory

### Summary

- Identify and treat the underlying cause
- Ventilate at low tidal volume
- Apply generous PEEP
- Maintain a low hydrostatic pressure in the lungs (avoid fluid overload)
- Consider the prone position in severe cases
- Consider steroids in persistent ARDS

**Table 1.** Proposed new definition of ARDS (European working group, awaiting formal publication).

	Mild ARDS	Moderate ARDS	Severe ARDS
<b>Timing</b>		Acute onset within 1 week of a known clinical insult or new/worsening respiratory symptoms	
<b>Hypoxaemia</b>	$\text{PaO}_2/\text{FiO}_2$ 201–300mmHg with PEEP/CPAP $\geq 5\text{cmH}_2\text{O}$	$\text{PaO}_2/\text{FiO}_2 \leq 200\text{mmHg}$ with PEEP $\geq 5\text{cmH}_2\text{O}$	$\text{PaO}_2/\text{FiO}_2 \leq 100\text{mmHg}$ with PEEP $\geq 10\text{cmH}_2\text{O}$
<b>Origin of oedema</b>		Respiratory failure not fully explained by cardiac failure or fluid overload	
<b>Radiological abnormalities</b>	Bilateral opacities	Bilateral opacities	Opacities involving at least 3 quadrants
<b>Additional Physiological Derangement</b>	N/A	N/A	Minute volume $>10\text{L}\cdot\text{min}^{-1}$ or compliance $<40\text{ml}\cdot\text{cmH}_2\text{O}^{-1}$

**David Lacquiere**  
Consultant Anaesthetist  
Nevill Hall Hospital  
Aneurin Bevan Health Board  
South Wales  
UK

failure. Neutrophils accumulate in the capillaries, interstitial tissue and airspaces, and cause cell damage through the production of free radicals, inflammatory mediators and proteases. However neutrophils are not the only cell type involved as ARDS does occur in neutropenic patients. Cytokines (most importantly TNF- $\alpha$ , IL-1, IL-6 and IL-8) are also released by endothelial and immune cells and promote similar microvascular damage. The result is leakage of fluid and plasma proteins into the alveoli and interstitial tissues ('non-cardiogenic pulmonary oedema'), while at the same time the plasma proteins denature alveolar surfactant causing alveolar collapse. This creates hypoxia as the fluid-filled alveoli shunt blood. Shunt is created when areas of lung receive a blood supply but are unable to oxygenate it (in this case by creating a diffusion barrier).

To complicate matters further, vasoconstriction and occlusion of pulmonary capillaries by neutrophils, platelets and fibrin also occurs leading to areas of lung that are ventilated but not perfused – deadspace.

The increase in total lung water also stiffens the lung (decrease in compliance) and this dramatically increases the work of breathing.

### Proliferative phase

This phase is characterised by proliferation of type II pneumocytes and fibroblasts, with the formation of hyaline membranes. However, these pneumocytes do not make any surfactant and total production of surfactant decreases (this exacerbates the loss of surfactant caused by protein denaturing).

### Fibrotic phase

Disordered collagen deposition occurs, leading to extensive lung scarring. This makes the lung stiffer and further increases the work of breathing. This can be severe enough to make it impossible to wean the patient from a ventilator, but normally it is a matter of restoring the patients muscle strength to the point where they are able to cope with the increased effort required.

### PRESENTATION

The timing of the onset of clinical features varies from a few hours to several days after the precipitating insult.

### History

Shortness of breath is universal, but other symptoms are related to the predisposing condition.

### Examination

Findings are similar to those of pulmonary oedema due to other causes:

*Respiratory* – laboured breathing, tachypnoea, diffuse crackles, cyanosis.

*Cardiovascular* – sweating, tachycardia.

*CNS* – agitation, leading to lethargy and decreased level of consciousness.

In addition there may be features of the underlying condition.

### Investigations

#### Arterial blood gases

- PaO<sub>2</sub>/FiO<sub>2</sub> ratio of  $\leq$  300mmHg (40kPa).
- Hypocarbica may be seen, although hypercarbia develops later, as respiratory failure progresses.

#### Radiology

Chest Xray shows diffuse bilateral fluffy shadows (although initially they may be less widespread or unilateral) and may show other pulmonary signs if there is a direct pulmonary predisposing condition.

### MANAGEMENT – SUPPORTIVE MEASURES

There are no established treatments for ARDS, but treating the underlying condition (for example eradicating infection with antibiotics or surgery) and providing support for each system are paramount.

#### Respiratory support

Frequent chest Xrays will help to detect pneumothorax, fluid overload and pneumonia, which may all complicate ARDS. Pneumothorax in particular should be sought if there is a sudden increase in ventilation pressures or deterioration in blood gases. CT scanning may help to show occult pneumothorax.

Frequent physiotherapy is also important, to prevent plugging of airways by sputum. If plugging is suspected (for example by lobar

**Table 2.** Precipitants for ARDS can be classified as direct or indirect.

Direct	Indirect
Pneumonia	Multiple trauma
Lung contusion	Massive transfusion
Aspiration of gastric contents	Sepsis
Fat embolism	Pancreatitis
Toxic inhalation	Cardiopulmonary bypass
Near drowning	Burns
Reperfusion injury	Bone marrow transplant
Drugs and toxins	

collapse and deterioration in blood gases) bronchoscopy and lavage can help.

### Cardiovascular support

The aim is to maintain adequate oxygen delivery to the tissues. In ARDS cardiac output can be decreased due to sepsis or due to medical treatments (high ventilation pressures, PEEP, or inverted inspiratory:expiratory ratios), thus monitoring of cardiac output and filling pressures are important. This can be achieved using a pulmonary artery catheter, oesophageal Doppler, LidCO or PiCCO devices, but clinical signs are also important, especially when these are unavailable. Fluid management is always difficult in these cases - excessive fluids will worsen lung function and inadequate fluids will exacerbate renal failure. Cardiac output monitoring allows assessment of fluid responsiveness - volume challenges of 250ml can be given to achieve the highest achievable stroke volume and if cardiac output is still inadequate then inotropes are indicated.

Appropriate targets are a cardiac index  $3.5-5\text{L}\cdot\text{min}^{-1}\cdot\text{m}^{-2}$ , Hb concentration  $7-9\text{g}\cdot\text{dl}^{-1}$  (do not over-transfuse) and  $\text{SaO}_2 \geq 90\%$  (see below).

### Renal support

Renal failure is common, due to the underlying condition, low cardiac output, sepsis, and so on. Renal replacement therapy (for example with haemofiltration) may also improve gas exchange, by removing excess fluid.

### Nutrition

Enteral nutrition should be established quickly, using nasogastric feed with prokinetics (such as metoclopramide) or nasojejunal feeding. Total parenteral nutrition (TPN) can be considered if all attempts at enteral feeding fail.

### Managing sepsis

Sepsis may have precipitated the lung injury, or may develop during the course of ARDS. However, the systemic inflammatory response syndrome is often associated with ARDS in the absence of infection, thus detecting sepsis may be difficult.

Change in sputum colour and new shadows on the chest Xray may point to pulmonary infection. Other sources of sepsis should be reviewed frequently (line sites, urine, wounds).

If infection is suspected, appropriate samples should be sent for microscopy and culture. This may include bronchoscopy and lavage or removing and culturing invasive line tips, for example. Lavage is particularly useful in this setting. 20ml of normal saline is injected into the airway either through a bronchoscope or via a sterile suction catheter (placed blindly through the endotracheal tube until resistance is felt) and suctioned back into a culture pot. The likelihood of a significant positive result is higher with this technique and less tracheal contamination is encountered. Antimicrobial therapy should be guided by the results of these investigations, though 'blind' treatment may be reasonable if sepsis causes severe cardiovascular instability or impairment of gas exchange.

## MANAGEMENT – VENTILATION STRATEGY

Continuous positive airways pressure (CPAP) may be of benefit in mild cases, however most patients will require early intubation and mechanical ventilation. Indications include hypoxaemic or hypercarbic respiratory failure, acidosis, exhaustion and reduced conscious level. Profound sedation is usually required for ventilation as struggling or coughing can cause loss of recruited lung and worse oxygenation. Paralysis may be necessary if sedation alone does not settle the patient.

The aim of ventilation is to improve oxygenation without causing further damage to the lungs. Difficulties arise as some alveoli are normal and open whilst other alveoli are stiff and collapsed. It is therefore necessary to try to open the collapsed alveoli without damaging the normal areas. The main causes of ventilator-induced lung damage are high  $\text{FiO}_2$  (increased free radical damage) and over-distension of alveoli. Ventilation reduces the work of breathing and reduces oxygen demand and this should help correct acidosis and improve cardiovascular stability.

With the exception of low tidal volumes (see below) there is little evidence of survival benefit for any particular ventilation strategy; however volume-controlled ventilation is usually used initially, with the following targets:

- $\text{FiO}_2$  0.5-0.6 to minimise oxygen toxicity.
- $\text{PaO}_2 \geq 8\text{kPa}$  ( $\text{SaO}_2 \geq 90\%$ ) - do not attempt to achieve higher values.
- $\text{PaCO}_2 < 10\text{kPa}$  as long as  $\text{pH} > 7.2$ . Do not attempt to achieve lower values if this requires excessively high tidal volumes ('permissive hypercapnia').
- Tidal volumes  $6-8\text{ml}\cdot\text{kg}^{-1}$  body weight (to minimise alveolar distension and volutrauma), as suggested by the ARDS Network study.<sup>3</sup>
- Plateau pressures of  $30\text{cmH}_2\text{O}$  to minimise alveolar distension and volutrauma.
- Positive end-expiratory pressure (PEEP) titrated to achieve best oxygen delivery – commonly  $10-15\text{cmH}_2\text{O}$ . This increases functional residual capacity, recruits alveoli and puts the lung on the steeper part of the compliance curve. Higher levels of PEEP should be avoided, as they decrease venous return and thus cardiac output – PEEP should be set to maximise oxygen delivery rather than oxygenation alone.
- Recruitment manoeuvres. This is the use of a high level of CPAP ( $30-40\text{cmH}_2\text{O}$ ) for 30 seconds in an apnoeic patient via a ventilator. The aim is to recruit collapsed alveoli, and its occasional use may lead to marked improvements in oxygenation.

### Pressure-controlled inverse ratio ventilation (PC-IRV)

When ventilation using the above targets fails to improve oxygenation, PC-IRV may be attempted. The key features are:

- The inspiratory time (I) is prolonged till it is equal to or greater than expiratory time (E), for example using an I:E ratio of 1:1,

2:1 or 3:1. This allows time for poorly compliant lung units to be ventilated and should improve oxygenation.

- The pressure-controlled nature of the breath allows a plateau pressure to be set, to prevent over-distension of compliant (less diseased) alveoli.
- Plateau pressures should not exceed 35 cmH<sub>2</sub>O, and should be set to achieve tidal volumes of 6-8ml.kg<sup>-1</sup> body weight

This technique has important side effects:

- Mean intra-thoracic pressures will be raised, thus decreasing venous return and cardiac output.
- The shortened expiratory time may not leave enough time for gas to escape from the lung, leading to high levels of 'auto-PEEP' (also called 'intrinsic PEEP'). As well as further decreasing venous return, high auto-PEEP can impair ventilation, as the resting lung pressure becomes too high to allow expansion during inspiration. It is important therefore to periodically measure total PEEP (set PEEP plus auto-PEEP) and decrease set PEEP accordingly.

Auto-PEEP is measured by placing the ventilator into expiratory pause and measuring the highest airway pressure created. Airway pressure should be the same as PEEP but if gas trapping occurs airway pressure will rise as the alveoli empty - auto PEEP.

- The shortened expiratory time may also lead to hypercarbia – high respiratory frequency may be needed to avoid excessive respiratory acidosis.
- PC-IRV is also extremely uncomfortable for the patient, thus heavy sedation +/- paralysis are usually needed.

### Ventilation in the prone position

The physiological rationale of prone ventilation is that it optimizes lung recruitment and ventilation perfusion matching while preventing alveolar over inflation and allowing better postural drainage. Dramatic improvements in oxygenation are often seen in patients who are turned into the prone position for several hours, and this improvement may be sustained when they are returned to the supine position<sup>4</sup>. The technique should be used for periods of 12 to 24 hours..

However, there are practical difficulties in turning the critically ill patient and in nursing the patient in the prone position. A recent meta analysis has shown an improved outcome in those patients with PaO<sub>2</sub>/FiO<sub>2</sub> ratio of ≤ 100mmHg. Prone ventilation is free and can be readily implemented in any intensive care unit.

### MANAGEMENT – ADDITIONAL MEASURES

A number of advanced techniques are available, but there is little evidence of increased survival with any of them.

### Nebulised prostacyclin

This produces pulmonary vasodilation, dilating those vessels in well ventilated parts of the lung, thus improving ventilation/perfusion matching. Because it is removed from the circulation rapidly it does not cause systemic hypotension. Prostacyclin should be continuously

nebulised at a rate of 5-20ng.kg<sup>-1</sup>.min<sup>-1</sup>. There is little evidence to support its use.

### Inhaled nitric oxide

Like prostacyclin this is a selective pulmonary vasodilator, and is used in doses of 1-40 parts per million. Neither agent has been shown to influence survival.

### Corticosteroids

There is some evidence from a small study of a reduction in mortality associated with the use of methylprednisolone to suppress ongoing inflammation during the fibroproliferative phase of ARDS. The initial regimen consists of methylprednisolone 2mg.kg<sup>-1</sup> daily. After 3-5 days a response must be apparent. In 1-2 weeks the dose can be tapered to methylprednisolone 0.5-1.0mg daily. In the absence of a response, steroids can be discontinued.<sup>5</sup> A more recent meta analysis by Peter et al found a possible reduced mortality when steroids were started after the onset of ARDS, but preventative steroids increased the risk of ARDS.<sup>6</sup>

### Surfactant therapy

This aims to replace surfactant lost from the lung and thus improve compliance and alveolar stability, and decrease lung water. However early results have been disappointing.

### High frequency oscillation ventilation

This can be used to raise mean airway pressure without dangerous increases in peak airway pressure, but is expensive and only available in specialist centres

### Extracorporeal membrane oxygenation (ECMO)

ECMO consists of a pump oxygenator that performs gas exchange, allowing the lungs to be 'rested'. This is only available in specialist centres.

### SUMMARY

ARDS is diagnosed clinically on the basis of the acute development of hypoxaemic respiratory failure, chest Xray changes and non-cardiogenic pulmonary oedema, on the background of a pulmonary or non-pulmonary precipitating condition. ARDS may affect one in ten intensive care unit patients, and it carries a mortality of 30-40%.

Pathologically ARDS is characterised by an inflammatory phase involving neutrophils and cytokines, followed by a reparative process that may end in fibrosis. Patients exhibit the signs and symptoms of pulmonary oedema, though features of the underlying condition may influence the picture.

Management consists of treating the underlying condition, providing support for failing systems and early invasive ventilation. Limiting the FiO<sub>2</sub> may help to prevent further lung damage, while limiting tidal volumes to 6-8ml.kg<sup>-1</sup> has been shown to reduce mortality. In cases of refractory hypoxaemia PC-IRV or ventilation in the prone position may improve blood gases. In addition there are many advanced techniques but many are only available in specialist centres, and none convincingly reduce mortality.

## REFERENCES

1. Bernard GR, Artigas A, Brigham KL, et al. The American-European Consensus Conference on ARDS: definitions, mechanisms, relevant outcomes, and clinical trial coordination. *Am J Respir Crit Care Med* 1994; **149**: 818-24.
2. Luce JM. Acute lung injury and the acute respiratory distress syndrome. *Crit Care Med* 1998; **26**: 369-76.
3. ARDSnet. Ventilation with lower tidal volumes as compared with traditional tidal volumes for acute lung injury and the acute respiratory distress syndrome. *N Eng J Med* 2000; **342**: 1301-8.
4. Gattinoni L, Tognoni G, Pesenti A, et al. Effect of prone positioning on the survival of patients with acute respiratory failure. *N Eng J Med* 2001; **345**: 568-73.
5. Meduri GU, Headley AS, Golden E, et al. Effect of prolonged methylprednisolone therapy in unresolving acute respiratory distress syndrome. A randomized controlled trial. *JAMA* 1998; **280**: 159-165.
6. Peter JV, John P, Graham PL, et al. Corticosteroids in the prevention and treatment of acute respiratory distress syndrome (ARDS) in adults: meta-analysis. *BMJ* 2008; **336**: 1006-9.